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## Decoding :-

→ To achieve reliability, we must determine the r/p message, with high degree of accuracy. Thus we are looking for the best decoding scheme.

→ Suppose channel has I/P alphabets

$x_1, x_2, \dots, x_m$  & o/p alphabets  $y_1, y_2, \dots, y_n$   
& Channel matrix  $P[(y_j/x_i)]$ .

→ A decoder or decision scheme is an assignment to every o/p symbol ( $y_j$ ) of an i/p symbol ( $x_j^*$ ), from the alphabets

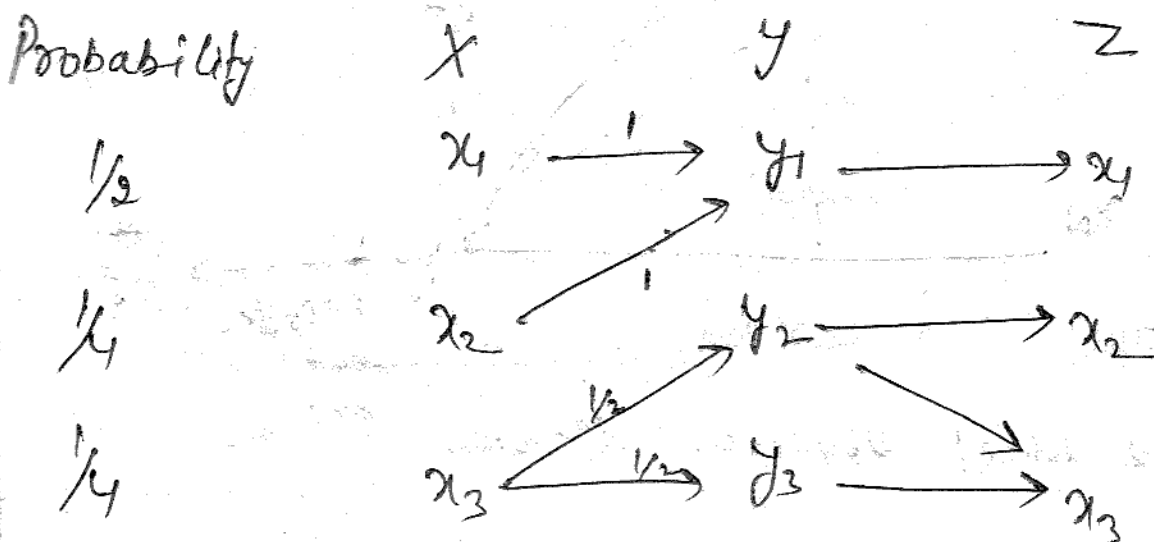
$x_1, x_2, \dots, x_m$ .

⇒ If  $y_j$  is received it will be decoded as ( $x_j^*$ ).

⇒ If  $Z$  is the o/p of decoder, we may express  $Z = g(y)$

## Example of Channel & Decoder

## Example of channel & decoder



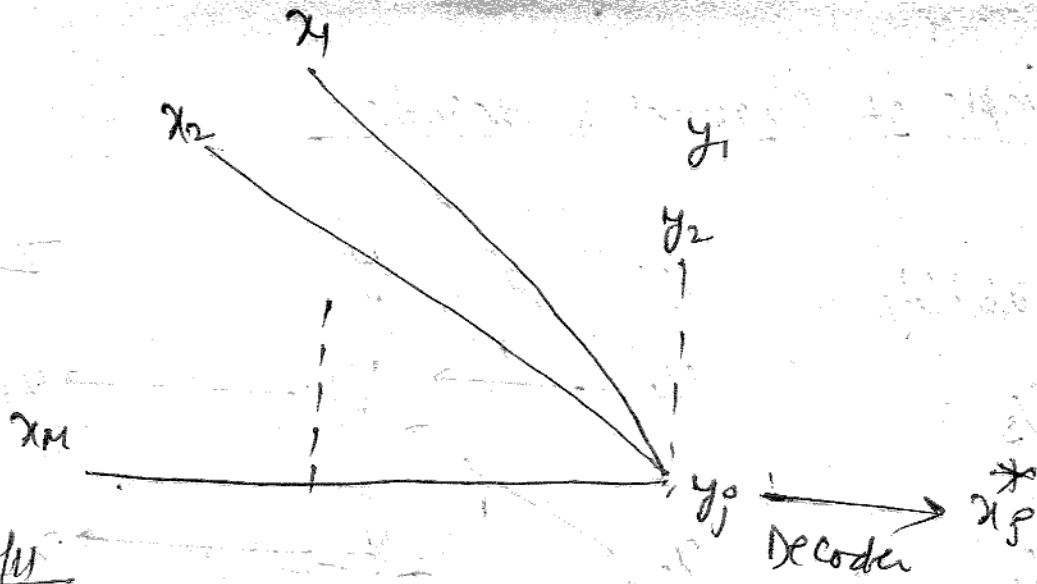
For a given v/p  $x_i$ , consider the decision scheme that minimises the overall probability of errors. Such a decision scheme is called Ideal Observer.

Let  $p(e) \rightarrow$  possibility of errors.

$p(e') \rightarrow$  overall probability of correct transmission.

Probability of correct transmission is: -

$$\begin{aligned}
 p(e') &= \sum_{j=1}^N P(y_j) P(e'/y_j) \\
 &= \sum_{j=1}^N P(y_j) P(x = x_j^* / y_j)
 \end{aligned}$$



$\Rightarrow$  The ideal observer decision scheme associates with each o/p symbol  $y_j$  the i/p symbol  $x$  that maximises  $P(x/y_j)$ .

$\Rightarrow$  If more than 1 i/p symbol yields maximum, any one of the maximums can be chosen. The probability of error will not be affected.

$\Rightarrow$  The decision scheme minimizing the overall probability of error for the given i/p distribution is given by:

$$\frac{P(d_1, d_2, \dots, d_n / B_1, B_2, \dots, B_n)}{P(B_1, B_2, \dots, B_n)} = \prod_{k=1}^n P\left(\frac{B_k}{d_k}\right)$$

Where,

$a_1, a_2, a_3, \dots, a_n \rightarrow$  i/p sequence.

$B_1, B_2, \dots, B_n \rightarrow$  o/p "

If the symbols are equally likely,

$$P(x_i/y) = \frac{1}{M P(y)} P(y/x_i)$$

Thus when all the i/p's are equally likely, the ideal observer selects the i/p  $x_i$ , for which  $P(y/x_i)$  is  $\max^m$ . The resulting

Decoder is called maximum likelihood decision scheme.

Disadvantages :-

- (1) It is defined only for a particular i/p distribution. i.e. when the i/p probability is changed, the decision scheme also changes.

ii) It is possible that certain i/p's are not received correctly. It is more desirable to have a decision scheme with uniform error bound.

### FANO'S INEQUALITY THEOREM

→ Given an arbitrary code  $(S, n)$  consisting of words  $x^{(1)}, x^{(2)}, \dots, x^{(S)}$ .  
Let  $X = (x_1, x_2, \dots, x_n)$ , with a probability  $P(x^{(i)})$ ,  $i = 1, 2, \dots, S$ , where

$$\sum_{i=1}^S P(x^{(i)}) = 1$$

Let  $Y = (y_1, y_2, \dots, y_n)$  be the corresponding o/p sequence.

If  $P(e) =$  probability of error

then

$$H(X/Y) \leq H[P(e), 1-P(e)] + P(e) \log(S-1)$$

# SHANNON'S FUNDAMENTAL THEOREM

or,

## Noisy Coding Theorem

It states that :- " Consider a discrete memoryless channel with non zero capacity  $C$ , fixed two numbers  $H$  &  $\epsilon$  such that

$$\underline{0 < H < C \text{ and } \epsilon > 0}$$

→ Let us transmit 'm' messages  $u_1, u_2, \dots, u_m$ , by code words each of length 'n', then 'n' may be chosen such that  $m \gg 2^{nH}$

## CAPACITY OF BANDLIMITED GAUSSIAN

### CHANNEL :-

→ The rate of transmission for a continuous channel is given by

$$I(X; Y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(x, y) \log \left[ \frac{P(x, y)}{P(x) \cdot P(y)} \right] dx \cdot dy$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(x, y) [\log P(x, y) - \log P(x) \cdot P(y)] dx \cdot dy$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} p(x, y) \log p(x, y) dx dy$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} p(x, y) [\log p(x) + \log p(y)] dx dy$$

$$= -H(x, y) + H(x) + H(y)$$

$$\Rightarrow \boxed{I(x; y) = H(x) + H(y) - H(x, y)}$$

$$\therefore H(x) = \int_{-\infty}^{\infty} p(x, y) \log p(x) dx$$

$$H(y) = \int_{-\infty}^{\infty} p(x, y) \log p(y) dy$$

Also,

$$I(x; y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} p(x, y) \log \left[ \frac{p(x, y)}{p(x)} \right] dx dy$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} p(x, y) \log p(x/y) dx dy$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} p(x, y) \log p(x) dx dy$$

$$= -H(x/y) + H(x) = H(x) - H(x/y)$$

$$\left| \begin{aligned} \therefore - \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} p(x, y) \log p(x) dx dy \\ = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} - p(x, y) \log p(x) dx dy \end{aligned} \right.$$

$$I(x, y) = H(x) - H(x|y)$$

Silly,

$$I(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} p(x, y) \log p(y|x) dx dy$$

$$I(x, y) = H(y) - H(y|x)$$

Let  $y$  be a random variable and  $H(y)$  is maximum when  $y$  has ~~max~~ gaussian distribution.

$$H(y)_{\max} = \ln \sqrt{2\pi e} \sigma_y$$

where,

$\sigma_y \rightarrow$  Variance of  $y$ .

$$\text{Channel Capacity, } C = \ln \sqrt{2\pi e \sigma_y^2} - \ln \sqrt{2\pi e \sigma_n^2}$$

Note

$$1/P + \text{noise} = 0/P$$

$$1 - e \sigma_y^2 = \sigma_x^2 + \sigma_n^2 \Rightarrow \sigma_x^2 = \sigma_y^2 - \sigma_n^2$$

$\sigma_n \rightarrow$  Variance of noise power.

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} p(x, y) \frac{1}{p(x)} dx dy = H(x|y)$$



$$\Rightarrow C = \ln \left[ \frac{\sigma_y^2}{\sigma_n^2} \right]^{1/2}$$

$$= \ln \left[ \frac{\sigma_x^2 + \sigma_n^2}{\sigma_n^2} \right]^{1/2}$$

$$= \ln \left[ \frac{\sigma_x^2}{\sigma_n^2} + 1 \right]^{1/2}$$

$$= \ln \left[ 1 + \frac{S}{N} \right]^{1/2} = \frac{1}{2} \ln \left[ 1 + \frac{S}{N} \right]$$

$$\boxed{C = \frac{1}{2} \ln \left[ 1 + \frac{S}{N} \right]}$$

For bandlimited Gaussian channel

we have  $2W$  samples/sec.

$$C = 2W \times \frac{1}{2} \ln \left[ 1 + \frac{S}{N} \right] \log_e \text{ bit/sec}$$

$$= \frac{W \times \log_2 \left( 1 + \frac{S}{N} \right) \cdot \cancel{\log_e}}{\cancel{\log_e}}$$

$$\boxed{C = W \log_2 \left( 1 + \frac{S}{N} \right) \text{ bit/sec}}$$

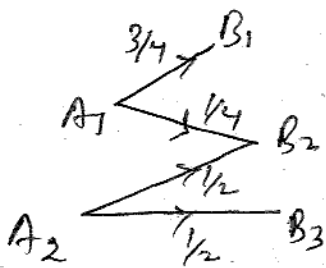
← Shannon Capacity

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IMPORTANT Q

Q Given the noise characteristics of a communication channel. Evaluate the rate of transmission through the channel

$P(A_1) = P(A_2) = \frac{1}{2}$  (Pg 52)



$P(y/x) = \begin{matrix} & B_1 & B_2 & B_3 \\ A_1 & \begin{bmatrix} 3/4 & 1/4 & 0 \end{bmatrix} \\ A_2 & \begin{bmatrix} 0 & 1/2 & 1/2 \end{bmatrix} \end{matrix}$

$P(x, y) = P(y/x) \cdot P(x)$   
 $= \begin{matrix} y_1 & y_2 & y_3 \\ x_1 & \begin{bmatrix} 3/8 & 1/8 & 0 \end{bmatrix} \\ x_2 & \begin{bmatrix} 0 & 1/4 & 1/4 \end{bmatrix} \end{matrix}$

$P(x) = P(A_1) = P(A_2) = \frac{1}{2}$

$P(y_1) = \frac{3}{8} + 0 = \frac{3}{8}$

$P(y_2) = \frac{1}{8} + \frac{1}{4} = \frac{3}{8}$

$P(y_3) = 0 + \frac{1}{4} = \frac{1}{4}$

$H(x) = -\sum_{k=1}^M p_k \log_2 \frac{1}{p_k}$   
 $= -\sum_{k=1}^M p_k \log_2(p_k)^{-1}$

Hasty

$$H(Y) = -\frac{3}{8} \log_2 \left( \frac{3}{8} \right) - \frac{3}{8} \log_2 \left( \frac{3}{8} \right) - \frac{1}{4} \log_2 \left( \frac{1}{4} \right)$$

$$= -\frac{3}{8} \frac{\log_2 3}{\log_2 8} - \frac{3}{8} \frac{\log_2 \left( \frac{3}{8} \right)}{\log_2 2} - \frac{1}{4} \frac{\log_2 \frac{1}{4}}{\log_2 2}$$

$$\approx -\frac{3}{8} \left( \frac{-0.425}{0.301} \right) - \frac{3}{8} \left( \frac{-0.425}{0.301} \right) - \frac{1}{4} \left( \frac{-0.602}{0.301} \right)$$

$$= 1.56 \text{ bits/symbol}$$

$$H(Y) = 1.56 \text{ bits/symbol}$$

$$H(Y/X) = -\sum_{i=1}^K P(x_i, y) \log P(y/x)$$

$$= -\frac{3}{8} \log_2 \left( \frac{3}{4} \right) - \frac{1}{8} \log_2 \left( \frac{1}{4} \right) - \frac{1}{4} \log_2 \left( \frac{1}{2} \right) - \frac{1}{4} \log_2 \left( \frac{1}{2} \right)$$

$$= -\frac{3}{8} \frac{\log_2 \frac{3}{4}}{\log_2 2} - \frac{1}{8} \frac{\log_2 \frac{1}{4}}{\log_2 2}$$

$$- \frac{1}{4} \frac{\log_2 \frac{1}{2}}{\log_2 2} - \frac{1}{4} \frac{\log_2 \frac{1}{2}}{\log_2 2}$$

$$= -\frac{3}{8} \left( \frac{-0.124}{0.301} \right) - \frac{1}{8} \left( \frac{-0.602}{0.301} \right)$$

$$- \frac{1}{4} \left( \frac{-0.301}{0.301} \right) - \frac{1}{4} \left( \frac{-0.301}{0.301} \right)$$

$$\log_2\left(\frac{1}{4}\right)$$

$$= 0.9056$$

$$I(X, Y) = H(Y) - H(Y/X)$$

$$= 1.56 - 0.9056$$

$$= 0.6544 \quad \text{Ans}$$

$$\frac{0.602}{0.301}$$

Calculate the channel matrix whose

noise margin matrix given below:-

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$$\text{Ans: } \begin{bmatrix} \frac{1}{2} & \frac{1}{4} & 0 & \frac{1}{4} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ \frac{1}{4} & 0 & \frac{1}{4} & \frac{1}{2} \end{bmatrix} \begin{bmatrix} \theta_1 \\ \theta_2 \\ \theta_3 \\ \theta_4 \end{bmatrix} =$$

$$= \begin{bmatrix} \frac{1}{2} \log \frac{1}{2} + \frac{1}{4} \log \frac{1}{4} + 0 + \frac{1}{4} \log \frac{1}{4} \\ 1 \log 1 \\ 1 \log 1 \\ \frac{1}{4} \log \frac{1}{4} + 0 + \frac{1}{4} \log \frac{1}{4} + \frac{1}{2} \log \frac{1}{2} \end{bmatrix}$$

$$= \begin{bmatrix} -1.5 \\ 0 \\ 0 \\ -1.5 \end{bmatrix}$$

$$\begin{aligned} & 0.5 \log(0.5) \\ & + 2 \times 0.25 \log 0.25 \\ & \log 2 \\ & = -1.5 \end{aligned}$$

(2)

$$\begin{bmatrix} \frac{1}{2} Q_1 + \frac{1}{4} Q_2 + \frac{1}{4} Q_4 \\ Q_2 \\ Q_3 \\ \frac{1}{4} Q_1 + \frac{1}{4} Q_3 + \frac{1}{2} Q_4 \end{bmatrix} \quad \text{--- (3)}$$

Comp (2) & (3)

$$Q_2 \geq 0 \quad \& \quad Q_3 = 0$$

$$\frac{1}{2} Q_1 = -1.5$$

$$\boxed{Q_1 = -3}$$

Now,

$$\frac{1}{4}(-3) + \frac{1}{2}(Q_4) = -1.5$$

$$\Rightarrow -0.75 + \frac{1}{2}Q_4 = -1.5$$

$$\Rightarrow \frac{1}{2}Q_4 = -1.5 + 0.75$$

$$= -0.75$$

$$Q_4 = -(2 \times 0.75)$$

$$\boxed{Q_4 = -1.5}$$

$$\frac{1}{2}x_1 + \frac{1}{4}x_2 + \frac{1}{4}x_4 = -1.5$$

$$\therefore x_2 = 0$$

$$\Rightarrow \frac{1}{2}x_1 + \frac{1}{4}x_4 = -1.5 \quad \text{--- (1)}$$

$$\frac{1}{4}x_1 + \frac{1}{4}x_3 + \frac{1}{2}x_4$$

$$\Rightarrow \frac{1}{4}x_1 + \frac{1}{2}x_4 = -1.5 \quad \times 2$$

$$\Rightarrow \frac{x_1}{2} + x_4 = -3 \quad \text{--- (2')}$$

$$- \frac{x_1}{2} + \frac{x_4}{4} = -1.5$$

---

$$x_4 - x_4 = -3 + 1.5 = -1.5$$

$$3x_4 = -1.5$$

$$x_4 = \cancel{4} \times \frac{4(-1.5)}{3} = \frac{-6}{3} = -2$$

$$\boxed{x_4 = -2}$$

$$\boxed{x_1 = -2}$$

$$\frac{1}{2}x_1 + \frac{1}{4}(-2) = -1.5$$

$$\Rightarrow \frac{1}{2}x_1 - \frac{1}{2} = -1.5$$

$$\frac{1}{2}x_1 = -1.5 + 0.5$$

$$C = \log_2 \left[ 2^{p_1} + 2^{p_2} + 2^{p_3} + 2^{p_4} \right]$$

$$= \log_2 \left[ 2^{-2} + 2^0 + 2^0 + 2^{-2} \right]$$

$$= \log_2 \left[ 0.25 + 1 + 1 + 0.25 \right]$$

$$= \log_2 (2.5)$$

$$= \frac{\log 2.5}{\log 2}$$

$$= 1.32$$

$$\boxed{C = 1.32} \text{ bits}$$

Channel Capacity.

Q3 - Given the messages  $m_1, m_2, \dots, m_6$   
Q258 with the probabilities  $\frac{1}{3}, \frac{1}{4}, \frac{1}{8}, \frac{1}{8}, \frac{1}{12}, \frac{1}{12}$

respectively.

Find Shannon's fano code and

also calculate the coding

efficiency.

	Probability	$a$	$b$	$ab$	$a$	length
$m_1$	$\frac{1}{3}$	0	0	-	00	2
$m_2$	$\frac{1}{4}$	0	1	-	01 $\frac{2}{12} = \frac{1}{6}$	
$m_3$	$\frac{1}{8}$	1	0	0	100	3
$m_4$	$\frac{1}{8}$	1	0	1	101	3
$m_5$	$\frac{1}{12}$	1	1	0	110	3
$m_6$	$\frac{1}{12}$	1	1	1	111	3

$R_c = 1 - \eta_c$        $R_c = 1 - \eta_c$

$\eta_c$  Efficiency =  $\frac{H(x)}{L}$

$H(x) = \sum_{i=1}^k P_i \log_2 \frac{1}{P_i}$   
 $= - \sum_{i=1}^k P_i \log_2 P_i$   
 $= P_i(x) \log_2 P_i(x)$

$L = \sum P(x) \cdot L$

$= \frac{1}{3} \times 2 + \frac{1}{4} \times 2 + \frac{1}{8} \times 3 + \frac{1}{8} \times 3 + \frac{1}{12} \times 3 + \frac{1}{12} \times 3$

= 2.4 symbols

$H(x) = P_k \log_2 \frac{1}{P_k}$   
 $H(x) = \sum_{i=1}^n P_i \log_2 \frac{1}{P_i}$

$H(x) = - \left[ \frac{1}{3} \log_2 \left( \frac{1}{3} \right) + \frac{1}{4} \log_2 \left( \frac{1}{4} \right) + \frac{1}{8} \log_2 \left( \frac{1}{8} \right) + \frac{1}{8} \log_2 \left( \frac{1}{8} \right) + \frac{1}{12} \log_2 \left( \frac{1}{12} \right) + \frac{1}{12} \log_2 \left( \frac{1}{12} \right) \right]$

= 2.3758

$\frac{1}{2}, \frac{1}{12}$



$$\eta_c = \frac{2.3758}{2.41} = 0.985$$

$$P_e = 1 - 0.985 = 0.015$$

$$P_e = 0.015$$

8.4 Calculate  $I(X; Y)$  given

$$P(X) = \left[ \frac{1}{4} \quad \frac{2}{5} \quad \frac{3}{20} \quad \frac{3}{20} \quad \frac{1}{20} \right] \quad \text{pg 126}$$

and noise matrix

$$P(Y|X) = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ \frac{1}{4} & \frac{3}{4} & 0 & 0 & 0 \\ 0 & \frac{1}{3} & \frac{2}{3} & 0 & 0 \\ 0 & 0 & \frac{1}{3} & \frac{2}{3} & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix} = \mathbf{I}$$

8.5 (revised) Calculate  $H(X)$  for a discrete memoryless channel which has the

probabilities

$$P(x_1) = 0.4 \quad P(x_2) = 0.3 \quad P(x_3) = 0.2,$$

$$\text{and } P(x_4) = 0.1.$$

$$H(X) = - \sum_{i=1}^4 P_i \log_2 P_i$$

Q5 Calculate the Capacity of Low pass

Channel with Bandwidth of 3000 Hz.

And S/N (Signal to noise) ratio = 1000.  
at the Channel o/p. - Assume the  
Channel to be gaussian and wide. Pg 120

$$C = W \log_2 \left( 1 + \frac{S}{N} \right)$$

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Q6 State and prove Kraft's Inequality. Pg 32

Q7 Derive the Channel Capacity of  
Band limited gaussian channel. Pg 116.

Q8 Explain in detail about decoding schemes  
and ideal observer. 110,

Q9 State and prove any three properties of  
mutual information. Pg 88

Q10 Derive the Capacity of unsymmetric channel.  
Pg 107

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$$I(X; Y) = H(X) - H(X|Y)$$

$$H(X) = - \sum p(x) \log_2 p(x)$$

$$H(X|Y) = - \sum_{y} p(y) \sum_{x} p(x|y) \log_2 p(x|y)$$

$$I(X; Y) = H(X) + H(Y) - H(X, Y)$$

$H(X) = - \left( \frac{1}{4} \log_2 \frac{1}{4} + \frac{1}{4} \log_2 \frac{1}{4} + \frac{1}{4} \log_2 \frac{1}{4} + \frac{1}{4} \log_2 \frac{1}{4} \right) = 2$   
 $H(Y) = - \left( \frac{1}{2} \log_2 \frac{1}{2} + \frac{1}{2} \log_2 \frac{1}{2} \right) = 1$   
 $H(X, Y) = - \left( \frac{1}{4} \log_2 \frac{1}{4} + \frac{1}{4} \log_2 \frac{1}{4} + \frac{1}{4} \log_2 \frac{1}{4} + \frac{1}{4} \log_2 \frac{1}{4} + \frac{1}{4} \log_2 \frac{1}{4} + \frac{1}{4} \log_2 \frac{1}{4} + \frac{1}{4} \log_2 \frac{1}{4} + \frac{1}{4} \log_2 \frac{1}{4} \right) = 2$   
 $I(X; Y) = 2 + 1 - 2 = 1$

$P(X|Y) = P(X|Y) \cdot P(Y)$   
 $P(Y|X) = P(X|Y) \cdot P(Y)$

# Capacity of Unsymmetric Binary Channel

Consider an unsymmetric binary channel

$$\begin{bmatrix} p_{11} & p_{12} \\ p_{21} & p_{22} \end{bmatrix}$$

$$\text{Let } P(x_1) = p_1 \quad P(x_2) = p_2 \quad \& \quad P(y_1) = p_1'$$

$$P(y_2) = p_2'$$

then

$$\begin{bmatrix} p_{11} & p_{12} \\ p_{21} & p_{22} \end{bmatrix} \begin{bmatrix} Q_1 \\ Q_2 \end{bmatrix} = \begin{bmatrix} p_{11} \log_2 p_{11} + p_{12} \log_2 p_{12} \\ p_{21} \log_2 p_{21} + p_{22} \log_2 p_{22} \end{bmatrix}$$

$$I(x, y) = H(y) - H(y|x)$$

$$= - (p_1' \log_2 p_1' + p_2' \log_2 p_2') + p_1 (p_{11} Q_1 + p_{12} Q_2) + p_2 (p_{21} Q_1 + p_{22} Q_2)$$

$$= - (p_1' \log_2 p_1' + p_2' \log_2 p_2') + p_1' Q_1 + p_2' Q_2$$

where,

$$p_1' = p_1 p_{11} + p_2 p_{21}$$

$$p_2' = p_1 p_{12} + p_2 p_{22}$$

Apply Lagrange's method

$$F = I(x, y) + \lambda (p_1' + p_2') \quad \text{--- (1)}$$

fd (1) w.r to  $p_1'$  &  $p_2'$

$$\frac{\partial F}{\partial p_1'} = -(\log_2 e + \log_2 p_1') + B_1 + \lambda = 0$$

$$\frac{\partial F}{\partial p_2'} = -(\log_2 e + \log_2 p_2') + B_2 + \lambda = 0$$

$$\Rightarrow \lambda = -B_1 + (\log_2 e + \log_2 p_1') = 0$$

$$\lambda = -B_2 + (\log_2 e + \log_2 p_2') = 0$$

$$B_1 = B_2 + \log_2 p_1' - \log_2 p_2' \quad \text{--- (1)}$$

$$\begin{aligned} \mathcal{I}(X, Y) &= -p_1' \log_2 p_1' - p_2' \log_2 p_2' + p_1' B_2 + p_1' \log_2 p_1' \\ &\quad - p_1' \log_2 p_2' \\ &\quad + p_2' B_2 \end{aligned}$$

$$= B_2 - \log_2 p_2'$$

$$= B_1 - \log_2 p_1'$$

also,

$$p_1' = \frac{e^{B_1}}{e^c} \quad \& \quad p_2' = \frac{e^{B_2}}{e^c}$$

$$p_1' + p_2' \Rightarrow \frac{e^{B_1} + e^{B_2}}{e^c} = 1$$

$$\Rightarrow \text{channel capacity, } C = \log_2 [2^{B_1} + 2^{B_2}]$$

## Capacity of unsymmetric channel

Consider an unsymmetric binary channel

$$\begin{bmatrix} p_{11} & p_{12} \\ p_{21} & p_{22} \end{bmatrix}$$

$$\text{and } P(x_1) = p_1 \quad \& \quad P(x_2) = p_2$$

$$P(y_1) = p'_1 \quad \& \quad P(y_2) = p'_2$$

$$\text{then } \begin{bmatrix} p_{11} & p_{12} \\ p_{21} & p_{22} \end{bmatrix} \begin{bmatrix} q_1 \\ q_2 \end{bmatrix} = \begin{bmatrix} p_{11} \log p_{11} + p_{12} \log p_{12} \\ p_{21} \log p_{21} + p_{22} \log p_{22} \end{bmatrix}$$

$$I(x; y) = H(Y) - H(Y/x)$$

$$= - [p'_1 \log p'_1 + p'_2 \log p'_2] + p_1 (p_{11} q_1 + p_{12} q_2) + p_2 (p_{21} q_1 + p_{22} q_2)$$

$$= - [p'_1 \log p'_1 + p'_2 \log p'_2] + p'_1 q_1 + p'_2 q_2$$

where

$$p'_1 = p_1 p_{11} + p_2 p_{21}$$

$$p'_2 = p_1 p_{12} + p_2 p_{22}$$

Now, Lagrange's multiplier method,

$$F = I(x; y) + \lambda (p'_1 + p'_2)$$

p.d above eq<sup>n</sup> w.r to  $p'_1$  &  $p'_2$

$$\frac{\partial F}{\partial p_1'} = -(\log_2 e + \log p_1') + \theta_1 + \lambda = 0$$

$$\frac{\partial F}{\partial p_2'} = -(\log_2 e + \log p_2') + \theta_2 + \lambda = 0$$

$$\Rightarrow \lambda = -\theta_1 + (\log_2 e + \log p_1')$$

$$\lambda = -\theta_2 + (\log_2 e + \log p_2')$$

Equating both eq<sup>s</sup> we get

$$\theta_1 = \theta_2 + \log p_1' - \log p_2' \quad \text{--- (1)}$$

Sub in (1)

$$I(x; y) = \cancel{-p_1' \log p_1'} - p_2' \log p_2' + p_1' \theta_2 + p_1' \log p_1' - p_1' \log p_2' + p_2' \theta_2$$

$$= \theta_2 - \log p_2'$$

$$= \theta_1 - \log p_1'$$

Also,

$$p_1' = \frac{e^{\theta_1}}{e^c} \quad \& \quad p_2' = \frac{e^{\theta_2}}{e^c}$$

$$p_1' + p_2' = \frac{e^{\theta_1} + e^{\theta_2}}{e^c} = 1$$

$$\Rightarrow \text{Channel capacity } C = \log_2 (2^{\theta_1} + 2^{\theta_2})$$

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UNIT 3

CHANNEL CODING

↓  
(Simon Haykin's)

INFORMATION CAPACITY THEOREM:-

Consider a zero mean stationary process  $X(t)$ , i.e. band limited to 'B' hertz

$X_k$ , where  $k=1, 2, \dots, K$ ; denote

Continuous random variable obtained by uniform sampling of the process,  $X(t)$

at Nyquist rate (i.e.  $2 \times$  Bandwidth) '2B' samples/sec;

These samples are transmitted

in 'T' seconds over noisy channel, also band limited to 'B' hertz. The no. of

samples  $K$  is given by  ~~$K=2BT$~~

$K=2BT$  — (1)

Let  $X_k$  be the samples of transmitted

Signal,

$Y_k \rightarrow$  samples of Received signal.

The Channel o/p is perturbed

(i.e. some amount of noise is added)

by white gaussian noise of zero mean

and power spectral density  $\frac{N_0}{2}$ .

$$Y_k = X_k + N_k \quad \text{--- (2)}$$

where,  $N_k =$  white gaussian noise

Variance of  $N_k$  is given by:-  $\sigma^2 = \frac{N_0 \times 2B}{2}$

$$\boxed{\sigma^2 = N_0 B.} \quad \text{--- (3)} \quad \Rightarrow \boxed{\sigma = \sqrt{N_0 B}}$$

The Channel described by eq<sup>n</sup> (2) & (3), is called discrete time memoryless ~~the~~ gaussian channel,

The information Capacity is defined as maximum of mutual information between  $S_k$  and  $Y_k$  over all the distributions on  $S_k$ .

$\Rightarrow$  Let  $I(X_k; Y_k)$  denoted the average mutual information between  $S_k$  and  $Y_k$ , thus the information Capacity of the channel is given by

$$C = \max_{\substack{\text{prob of } (x_k) \\ f_{X_k}(x)}} \{ I(X_k; Y_k) : E[X_k^2] = P \} \quad \text{--- (4) eq<sup>n</sup>}$$

where,

$f_{X_k}(x) \rightarrow$  Probability distribution function of  $X(k)$



where,

$E[X_k^2] =$  Cost of information data

$P =$  transmitted power,

The avg mutual information  $I(X_k; Y_k)$  is given by:-

$$I(X_k; Y_k) = h(Y_k) - h(Y_k/X_k) \quad (5)$$

$$\text{now, } h(Y_k/X_k) = h(N_k) \quad (6)$$

$$\therefore I(X_k; Y_k) = h(Y_k) - h(N_k) \quad (7)$$

Since  $h(N_k)$  is independent of  $X(k)$ , maximising  $I(X_k; Y_k)$  requires maximising of  $h(Y_k)$

for  $h(Y_k)$  to be maximum  $Y_k$  has to be a gaussian random variable, this implies that  $X_k$  also must be gaussian,

Thus the maximization in eq<sup>n</sup> (7) is attained

By choosing samples of transmitted signal from a noise like process of average power  $P$ .

⇒ To evaluate the information capacity, we proceed in three stages :-

$$(1) h(Y_k) = \frac{1}{2} \log_2 [2\pi e (P + \sigma^2)] \quad (8)$$

$$(2) h(N_k) = \frac{1}{2} \log_2 [2\pi e \sigma^2] \quad (9)$$

Channel capacity,  $C = \frac{1}{2} \log_2 \left( 1 + \frac{P}{\sigma^2} \right)$   
bits/transmission

$$C = 2B \times \frac{1}{2} \log_2 \left( 1 + \frac{P}{N_0 B} \right)$$

$$\because \sigma^2 = N_0 \cdot B$$

$$C = B \log_2 \left( 1 + \frac{P}{N_0 B} \right) \text{ bits/sec} \quad (11)$$

17-8-11

## IMPLICATIONS OF INFORMATION CAPACITY THEOREM

⇒ An ideal system is defined as one that transmits data at a bit rate  $R_b$  equal to the information capacity  $C$ .

i.e.,  $R_b = C$

⇒ Average Transmitted power is given by

$$P = E_b C \quad \text{--- (1)}$$

where,  $E_b =$  Transmitted (signal energy) / bit.

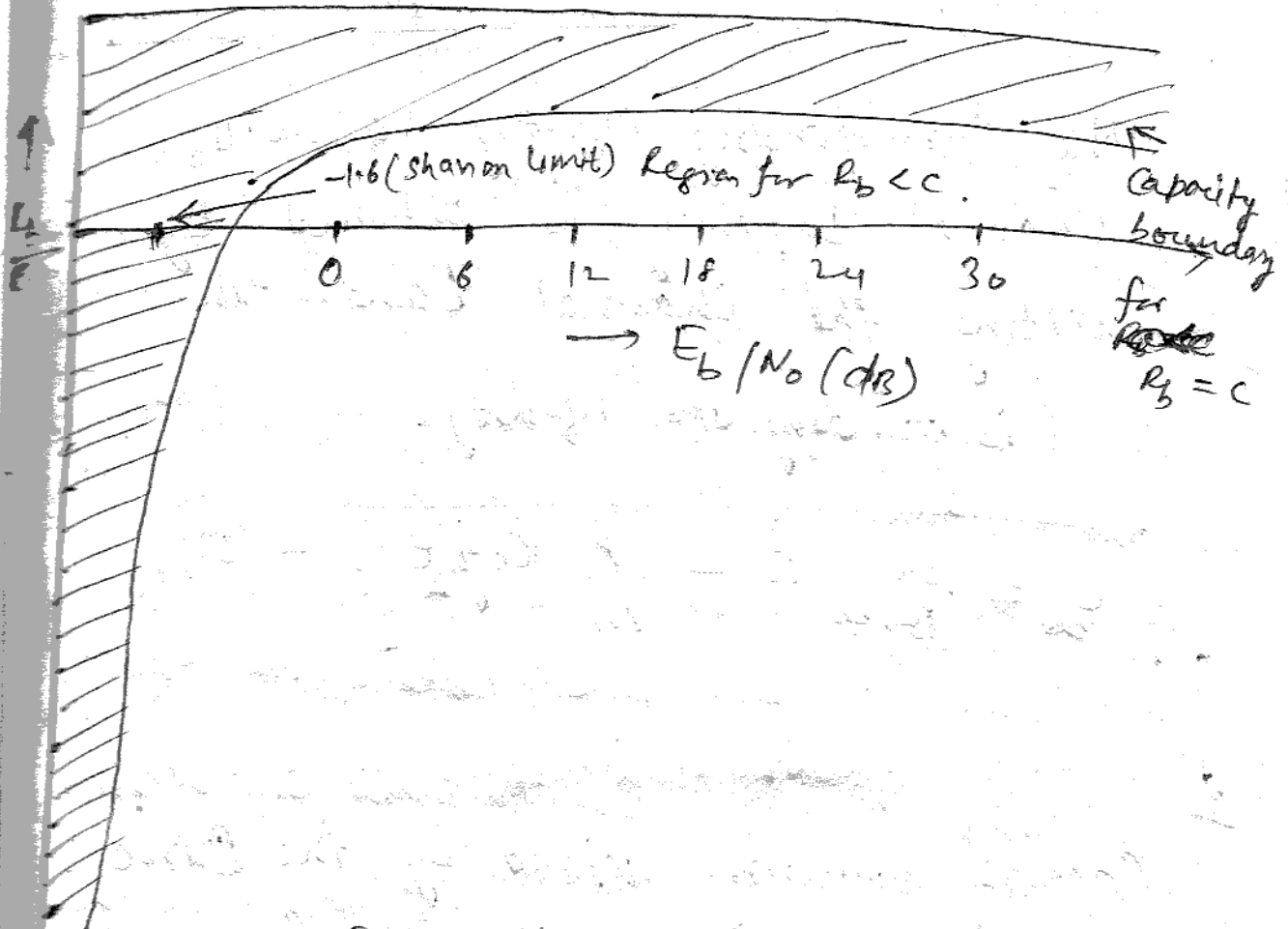
⇒ Ideal system is given by: -

$$\frac{C}{B} = \log_2 \left( 1 + \frac{E_b}{N_0} \frac{C}{B} \right) \quad \text{--- (2)}$$

(above eq<sup>n</sup> comes from channel capacity eq<sup>n</sup> or eq<sup>n</sup> (1)).

⇒ The signal energy / bit to noise power spectral density ratio  $\frac{E_b}{N_0}$  in terms of bandwidth efficiency,  $\frac{C}{B}$  for ideal

system is given by  $\frac{E_b}{N_0} = \frac{2^{C/B} - 1}{C/B}$



Bandwidth Efficiency diagram

The plot of  $R_b/B$  vs  $\frac{E_b}{N_0}$  graph is called bandwidth efficiency diagram.

→ From the above graph we make the following observations:-

1) for infinite Bandwidth  $\frac{E_b}{N_0}$  approaches limiting value i.e

$$\left( \frac{E_b}{N_0} \right)_{\infty} = \lim_{B \rightarrow \infty} \left( \frac{E_b}{N_0} \right) = \ln 2 = 0.693$$

This is Shannon's limit

⇒ In decibel, the value of  $\frac{E_b}{N_0}$  is  $-1.6$

The corresponding limiting value of Channel Capacity is obtained by letting the channel bandwidth  $B$  tending to infinity.

$$C_{\infty} = \lim_{B \rightarrow \infty} C = \frac{P}{N_0} \log_2 e \quad \text{--- (5)}$$

(2)

Capacity Boundary defined by the Curve for  $R_B = C$ , separates the combination of system parameter that supports error free transmission,  $R_B < C$  i.e. from those for which the error free transmission is not possible ( $R_B > C$ ).

$R_B = C$  Ideal system.

$R_B < C$  Error free transmission.

$R_B > C$  transmission with error.

(3) The diagram highlights the potential trade off among  $\frac{E_b}{N_0}$ ,  $\frac{R_B}{B}$  and the probability of error,  $P_e$ .

-1.6

3) The Shannon limit exhibited in terms of  $\frac{E_b}{N_0}$  required by the ideal system for error free transmission to be possible, is given by -

$$P_e \leq \begin{cases} 0, & E_b/N_0 \geq \ln 2 \\ 1, & E_b/N_0 < \ln 2 \end{cases}$$

5) The Boundary between error free transmission and unreliable transmission with possible errors, defined by Shannon limit corresponds to the Capacity Boundary.

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potential and

24/8/4

## Rate Distortion Theory :-

In practical situations there are constraints that force coding to be imperfect, thereby resulting in distortion. For  $\alpha$ , the information source when have continuous amplitude & the requirement is quantised, the amplitude of each sample to permit its representation by finite code word length.

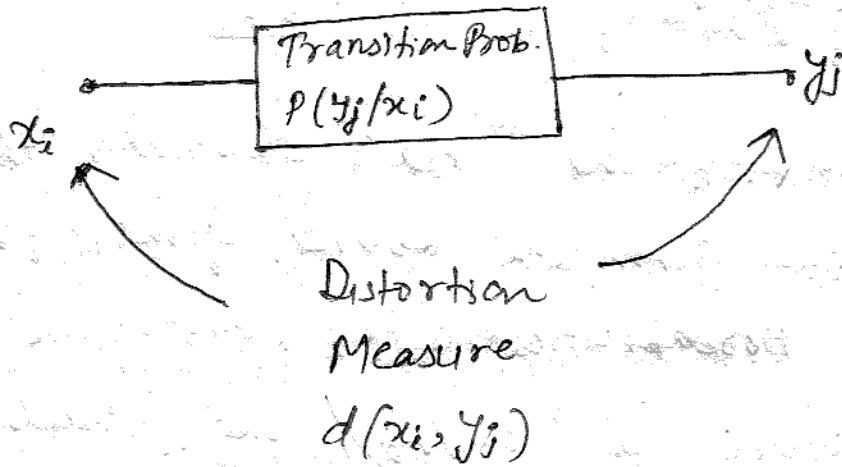
In such cases, the problem is called Source Coding. If fidelity criterion & the branch of information theory that deals with ~~the~~ it, is called rate distortion theory.

It has applications in two types of situation:-

- (1) Source coding where the permitted coding alphabet doesn't exactly represent the information source, & hence we are forced to do lossy data compression.

(ii) Information transmission at a rate greater than Channel Capacity.

## Rate Distortion Function



→ Rate distortion function  $R(D)$  is defined as the smallest coding rate, possible for which the average distortion is guaranteed not to exceed  $D$  (distortion).

for a fixed  $D$ ,

$$R(D) = \min I(X; Y)$$

$$P(x_i/y_j) \in P_D \quad P(y_j/x_i) \in P_D$$

where

$P_D \rightarrow$  set to which  $P(y_j/x_i)$  belongs to ~~pre~~ prescribed  $D$ .



subject to the constraint:-

$$\sum_{i=1}^N P(y_i/x_i) = 1, \text{ for } i=1, 2, \dots, N.$$

$$R_D \propto \frac{1}{D}$$

Unit of Rate of D is: bits.

⇒ To summarize the rate distortion theory,  
"Even given the source symbols  $\{x_i\}$   
their probabilities  $\{P_i\}$ , distortion  
measure  $d(x_i, y_i)$ , the calculation  
of  $R(D)$  involves conditional probability  
assignment  $P(y_i/x_i)$  subject to  
the constraints imposed on  $P(y_i/x_i)$ "

### DATA COMPRESSION

→ Data Compression is a lossy operation in  
which the source entropy is reduced  
(~~the~~ information is lost), ~~with~~ no  
irrespective of type of source.

→ In case of Continuous source, the entropy is infinite & hence the ~~single~~ <sup>signal</sup> compression code has to be encode the source off at a finite rate, but it is impossible to encode an analog signal without producing distortion. Thus quantizer is used as compressor.

There are two types of quantizer:-  
(i) Scalar (ii) Vector.

Scalar quantizer deals with analog signal one at a time. Each sample is converted into quantised value.

Vector quantizer use blocks of consecutive samples to form vector, each of which is treated as single entity.

The vector is encoded by comparing it with a codebook, which consists of a set of stored preference vector called Code Vector.

Let  $N \rightarrow$  no. of code vectors in the code book

$K \rightarrow$  Dimension of each vector

$\gamma \rightarrow$  Code rate in bits/samp

$$\gamma = \frac{\log_2 N}{K}$$

Signal to noise ratio (SNR) for

single vector quantizer is

$$10 \log_{10} (\text{SNR}) = 6 \left( \frac{\log_2 N}{K} \right) + C_k \text{ (dB)}$$

Where

$C_k \rightarrow$  Constant

$\rightarrow$  Note:- Advantage of vector quantizer over scalar is that  $C_k$  has higher (constant)

value

J.S.

28/08/11